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Complete if Known Substitute for form 1449A/PTO Application Number 10/728,275 INFORMATION DISCLOSURE Filing Date December 4, 2003 STATEMENT BY APPLICANT First Named Inventor Atul Varadhachary N/A Art Unit (use as many sheets as necessary) Examiner Name Not Yet Assigned Sheet 1 1 Attorney Docket Number HO-P02726US2

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
स्र	A	US-20020119928	08-29-2002	McAnalley	

ı	FOREIGN PATENT DOCUMENTS						
	Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> -Number Hind Code <sup>4</sup> (if known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	₽°
٠	+K_	BA:	WO-0241912	05-30-2002	Nuclear Receptor Research limited		

<sup>\*</sup>EXAMINER: Initial If reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See attached Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. <sup>6</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

_		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
Age	CA	Kajikawa et al, "Lactoferrin inhibits Cholestrol Accumulation in Macrophages Mediated by Acetylated or Oxidized Low-Density Lipoproteins," Biochim Biophys Acta. 1994 jun 23; 1213(1): 82-90.	
	СВ	Croy et al, "All three LDL Receptor Homology Regions of the LDL Receptor-related Protein Binf Multiple Ligands," Biochemistry 2003 Nov. 11; 42(44): 13049-13057.	
	CC	Huettinger, M. et al, "The LDL-receptor Family: Lactoferrin and Lipid Metabolism," Adv Exp. Med Biol. 1998; 443: 107-11.	
	CD	Huettinger, m. et al, "Characteristics of Chylomicron Remnant Uptake into Rat Liver," Clin Biochem. 1988 April; 21(2): 87-92.	
	CE	van Dijk MC et al, "Recognition of Chylomicron Remnants and Beta-Migrating very-low Density Lipoproteins by the Remnant Receptor of Parenchymal Liver Cells is Distinct from the Liver Alpha 2-Macroglobulin Recognition Site," Biochem J. 1991 no. 1; 279(Pt. 3); 863-70.	
	CF	Benezra, M. et al, "A Synthetic Heparin-Mirnicking Polyanionic Compound Binds to the LDL Receptor-related Protein and inhibits Vascular Smooth Muscle Cell Proliferation," J. Cell Biochem 2001; 81(1): 114-27.	
	CĢ	Hayashida et al, "Bovine Lactoferrin has a Nitric Oxide-dependent Hypotensive Effect in Rats," Am J Physiol Regul Integr Comp Physiol. 2004 Feb.; 286(2): R359-65.	
V	СН	Llirbat et al, "Normal and Inhibited Cholesterol Synthesis in the Cultured Rat Embryo," Journal of Lipid Research Vol. 38, pp. 22-34 (1997).	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

## **ELECTRONIC INFORMATION DISCLOSURE STATEMENT**

Electronic Version v18 Stylesheet Version v18.0

> Title of Invention

LACTOFERRIN IN THE REDUCTION OF CIRCULATING CHOLESTEROL, VASCULAR INFLAMMATION, ATHEROSCLEROSIS AND CARDIOVASCULAR DISEASE

Application Number:

10/728275

Confirmation Number:

7126

First Named Applicant:

Atul Varadhachary

Attorney Docket Number: HO-P02726US2

Art Unit:

N/A

Examiner:

Not Yet Assigned

Search string:

(20030060425).pn.

## **US Published Applications**

Note: Applicant is not required to submit a paper copy of cited US Published Applications.

init		Pub. No.	Date	Applicant	Kind	Class	Subclass
TW.	- AA	20030060425	2003-03-27	Ahlem et al.			<del></del>

## Signature

Examiner Name	Date
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